

Corporate Risk Management Annual Report 2020/21

Report of the Chief Fire Officer

For further information about this report please contact Rod Hammerton, Chief Fire Officer, on 01743 260204, or Ged Edwards, Planning, Performance and Communications Manager, on 01743 260208.

1 Purpose of Report

This report informs Members about the corporate risk management work that has been undertaken during the previous twelve months.

2 Recommendations

The Fire Authority is asked to note the report.

3 Background

Ongoing monitoring of the Service's corporate risk management work is a responsibility of the Service Management Team (SMT) and Risk Management Group (RMG). In turn the Standards, Audit and Performance Committee (SAP) receive regular corporate risk management reports.

However, risk management best practice guidance also indicates that the full Fire Authority should receive a summary report at least annually.

4 Local Government Act 1972, Schedule 12A Reporting Exemptions

There is an exempt risk on the Corporate Risk Register. Details of this risk are provided in an exempt paper and will be covered in the closed session of the meeting.

5 Risk Management Progress

This section includes information about all events that have led to the current status with the Authority's corporate risk management system.

June 2020

The Fire Authority received the Corporate Risk Management Annual Report 2019/20.

Members received risk management training from Zurich.

July 2020

SAP received updates on the Annual Governance Statement (AGS) Improvement Plan and the corporate risk summary report.

September 2020

SAP agreed the AGS and Improvement Plan for inclusion in the 2019/20 Statement of Accounts. The Committee also received a corporate risk summary report.

The risk relating to Data Management was downgraded following recruitment of additional staff into the Business Intelligence Team.

October 2020

RMG reviewed the Corporate Risk Register, Departmental Statements of Assurance, Departmental Risk Registers and Internal Audit Findings.

Internal Audit carried out its annual review of the Service's risk management and business continuity processes.

December 2020

Internal Audit's assessment of the Service's risk management and business continuity process are classified 'Good'.

SAP received updates on the AGS Improvement Plan, RMG Internal Audit progress monitoring report and a corporate risk summary report.

Fire Authority received a corporate risk summary report.

April 2020

RMG reviewed the Corporate Risk Register, Departmental Statements of Assurance, Departmental Risk Registers and Internal Audit Findings.

SAP received an update on progress against the AGS Improvement Plan and a corporate risk summary report.

A new risk relating to a delay in implementing the new fitness standards was added to the corporate risk register.

Over the last twelve months the Corporate Risk Register has been reviewed by SMT at each of its monthly meetings.

6 Overall Summary

There are currently twelve open risks on the Corporate Risk Register, which includes two new risks added during this reporting year.

7 Financial Implications

There are no direct financial implications associated with this report.

8 Legal Comment

There are no legal implications associated with this report.

9 Risk Monitoring

When considering this work stream officers have reviewed Brigade Order 3 Part 2 Risk Management Protocol and have determined that there are no direct risk implications, as this is purely a risk management summary update.

10 Initial Impact Assessment

This report contains merely statements of fact / historical data. An Initial Impact Assessment is not, therefore, required.

11 Equality Impact Assessment

This report contains merely statements of fact / historical data. An Equality Impact Assessment is not, therefore, required.

12 Appendices

Appendix A

Summary Corporate Risk Register

Appendix B

Paper 21 Exempt Section of Corporate Risk Register

13 Background Papers

There are no background papers associated with this report.

Corporate Risk Register

Categories of Risk	Raised by	Date Raised	Description	Current Probability	Current Impact	Current Risk Rating	Direction of Travel	Owner
Information	Ged Edwards	01/4/2012	The provision of accurate management information is dependent upon the adoption of high quality data management principles.	3	2	4	→	Sally Edwards
Service Delivery	Internal Audit	21/12/2011	The Service has various contracts with suppliers which if were to fail or be impacted by poor performance could have a detrimental impact on the delivery of key service streams/functions.	3	2	6	→	Andrew Kelcey
Information	Sally Edwards	01/1/2012	To ensure the maximum availability of the Command & Control system as a mission critical function of the Service.	1	3	3	→	Sally Edwards
Information	Sally Edwards	01/8/2012	Provide robust and resilient hardware and communications platforms to ensure availability of electronic systems to support service delivery.	1	2	2	→	Sally Edwards
Policy	Fire Authority Members	17/3/2016	The uncertainty surrounding changes in legislation from the Policing and Crime Bill 2016 (Duty to collaborate and potential for a change in governance) could impact upon services ability to effectively undertake long term planning and the delivery of strategy.	2	2	4	→	Rod Hammerton
Finance	Joanne Coadey	23/9/2015	Reduction in government funding - insufficient income to cover Service revenue budget	3	2	6	→	Joanne Coadey
Information	Chris Mellings	19/7/2017	ICT is crucial for public service delivery and this imposes a risk of disruption or damage to the reputation of SFRS in the event of a failure of its information technology systems as a result of a cyber attack.	2	3	6	→	Sally Edwards

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Service Delivery	Ged Edwards	17/11/2017	With little notice period the system supplier has informed the Service that the current Integrated Communications Control System (ICCS) will no longer be maintained beyond April, next year, as it becomes obsolete. In the event of a system failure after this date, the Service may be unable to restore the ICCS system.	2	1	2	→	Sally Edwards
Service Delivery	Kev Faulkner	13/11/2018	Staffing could be adversely affected following the adoption of the new fitness standards.	2	3	6	→	Guy Williams
Service Delivery	Ged Edwards	09/03/2020	In the event of an outbreak of Covid-19 in the Service there is the potential to severely disrupt all day to day Service functions.	2	1	2	→	Simon Hardiman
People	Andrew Kelcey	26/02/2020	The risk that we are entering into new activities without fully assessing and meeting the PPE requirements. It may be that PPE used is not appropriate for the specific risk faced.	2	1	2	→	Dan Quinn
People	Adam Matthews	15/03/2021	The potential for operational personnel not achieving the 42.3 vo2 max to be exposed to an operational environment beyond their physical capabilities.	2	3	6	→	Guy Williams

Key to Direction of Travel:

- shows that the general direction of the risk is unchanged
- ↓ shows that the general direction of the risk is reducing
- ↑ shows that the general direction of the risk is increasing